

10-15-04

Page 1 of 2



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/710,770	08/02/2004	Landis ARNOLD	WILD0001

25235
 HOGAN & HARTSON LLP
 ONE TABOR CENTER, SUITE 1500
 1200 SEVENTEENTH ST
 DENVER, CO 80202



CONFIRMATION NO. 4769

FORMALITIES LETTER



OC000000013755771

Date Mailed: 09/08/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$65** for a Small Entity

- **\$65** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

10/18/2004 LW000063 10710770 65.00 OP
 01 FC-2051

4.6

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



EXPRESS MAIL NO.EL 961395576 US

Attorney Docket No. WILD0001

Client/Matter No. 88927.0002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

LANDIS ARNOLD

Serial No. 10/710,770

Filed: August 2, 2004

For: HYDRODYNAMICALLY
BALANCED AND RETRACTABLE
RUDDER FOR SMALL BOATS

CERTIFICATE OF MAILING BY EXPRESS MAIL

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

- Certificate of Mailing By Express Mail
- Notice to File Missing Part of Nonprovisional Application;
- Fee Transmittal and check for \$105.00;
- Executed Declaration for Utility Patent Application;
- Recordation Form Cover Sheet PTO 1595 ;
- Executed Assignment; and
- Return postcard;

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL 961396576 US, with the United States Postal Service, addressed to Box Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

10/14/04

Mailer

Stuart T. Langley

Date

10/14/04

Stuart T. Langley, Reg. No. 33,940

HOGAN & HARTSON_{LLP}

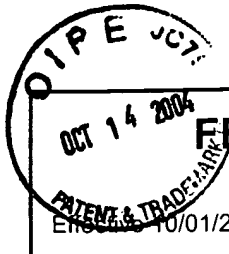
One Tabor Center

1200 17th Street, Suite 1500

Denver, Colorado 80202

(720) 406-5335 Tel

(303) 899-7333 Fax



**FEE TRANSMITTAL
for FY 2005**

Effective 10/01/2004. Patent fees are subject to annual revision

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 105.00

Complete if Known

Application Number	10/710,770
Filing Date	08/02/2004
First Named Inventor	Landis Arnold
Examiner Name	Not yet assigned
Group / Art Unit	3641
Attorney Docket No.	WILD0001

METHOD OF PAYMENT (check all that apply)

☒ check ☐ credit card ☐ money order ☐ other ☐ none

☐ Deposit Account

Deposit Account Number **50-1123**

Deposit Account Name **Hogan & Hartson L.L.P.**

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
790	395	Utility Filing Fee	
350	175	Design filing fee	
550	275	Plant filing fee	
790	395	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
	-20**=	X	=
Independent Claims	-3**=	X	=
Multiple Dependent			=

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
88	44	Independent claims in excess of 3
300	150	Multiple dependent claim, if not paid
88	44	**Reissue independent claims over original patent
18	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	65
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for ex parte reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SRI after Examiner action	
110	55	Extension for reply within first month	
430	215	Extension for reply within second month	
980	490	Extension for reply within third month	
1,530	765	Extension for reply within fourth month	
2,080	1,040	Extension for reply within fifth month	
340	170	Notice of Appeal	
340	170	Filing a brief in support of an appeal	
300	150	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,370	685	Petition to revive - unintentional	
1,370	685	Utility issue fee (or reissue)	
490	245	Design issue fee	
660	330	Plant issue fee	
130	130	Petitions to the Director	
50	50	Processing fee for provisional application under 37 CFR 1.17(q)	
180	180	Submission of Info Disclosure Stmt	
40	40	Recording each patent assignment per property (times number of properties)	40
790	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
790	395	For each additional invention to be examined (37 CFR §1.129(b))	
790	395	Request for Continued Examination	
900	900	Request for expedited examination of a design application	
Other fee (specify)			

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)** 105

SUBMITTED BY Complete (if applicable)

Name (Print/Type) Stuart T. Langley	Registration No. (Attorney/Agent) 33,940	Telephone (720) 406-5335
Signature	Date 10/14/04	